

S-matrix approach to gravitational scattering, bremsstrahlung, and collapse

Gabriele Veneziano

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Classical and quantum black holes

LOCATION

Laboratoire de Mathématiques et
Physique Théorique
Parc de Grandmont
37200 Tours - FRANCE



European Organization for Nuclear Research



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Introduction

For almost 30 years a small (and crazy?) group of theorists has taken Trans-Planckian-Energy (TPE) collisions of strings (and later of branes) as the **thought experiment of choice** for addressing some fundamental issues about the merging of **General Relativity** and **Quantum Mechanics**.

The aim was (and still is) to understand, within a consistent theory of quantum gravity, whether and how **information is preserved** in a process that leads, classically, to **black hole formation** and, semi-classically, to an apparent loss of information via Hawking's evaporation process (one of the main topics of this workshop)

The game started in 1987 with parallel work by:

Amati, Ciafaloni & GV (ACV)

and by

Gross, Mende & later Ooguri (GMO)

on TPE string-string collisions.

There was also parallel related work by 't Hooft and by Muzinich & Soldate in the QFT limit.

Much later (2010) the HE scattering of a closed string off a stack of $N \gg 1$ (coincident) D- branes was tackled:

D'Appollonio, Di Vecchia, R. Russo & GV (DDRV) +...

For lack of time only the first will be considered hereafter

Outline (a quick reminder for most)

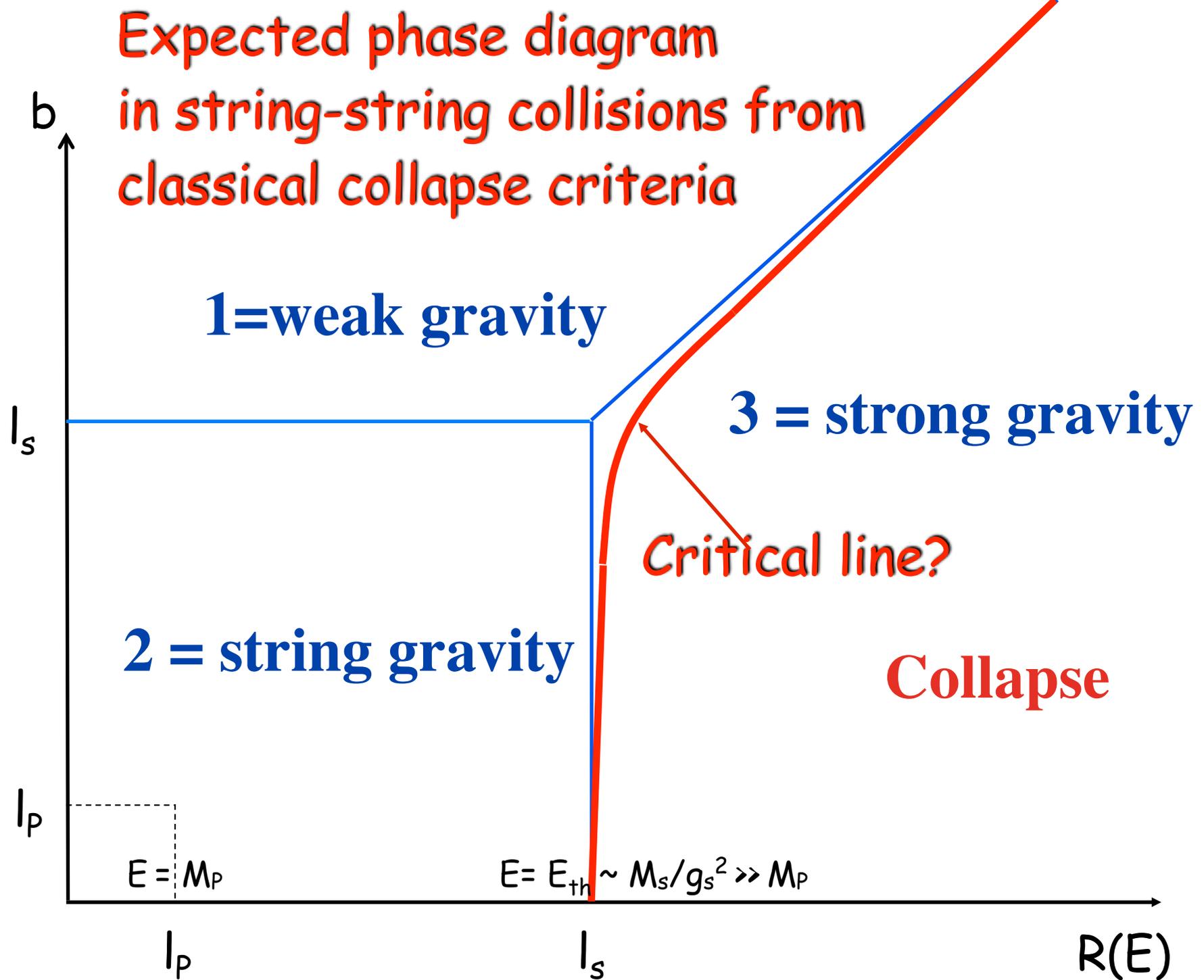
- Expected classical "phase diagram"
- **Weak-gravity QFT regime (elastic unitarity):**
 - Phase shift, gravitational deflection, time-delay.
- **Weak-gravity QST regime (inelastic unitarity):**
 - Tidal excitation of strings
- **String-gravity regime (approximate unitarity):**
 - (maximal deflection, minimal length, GUP)
 - (ST's resolution of a potential causality problem)
 - **Precocious Black Hole Behavior (PBHB)**
- **Strong-gravity regime (D=4, no string corrections):**
 - Reduction to a CLFT₄, CLFT₂, critical points/curves
 - Loss of unitarity below b_{crit} ?
 - **An energy crisis, its resolution, PBHB**
 - A comment on 1409.7405

Parameter-space for string-string collisions @ $s \gg M_P^2$

$$b \sim \frac{2J}{\sqrt{s}} \quad ; \quad R_D \sim (G\sqrt{s})^{\frac{1}{D-3}} \quad ; \quad l_s \sim \sqrt{\alpha' \hbar} \quad ; \quad G\hbar = l_P^{D-2} \sim g_s^2 l_s^{D-2}$$

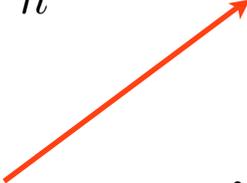
- 3 relevant length scales (neglecting l_P @ $g_s \ll 1$)
- Playing w/s and g_s we can make R_D/l_s arbitrary

Expected phase diagram
in string-string collisions from
classical collapse criteria



Actually there are subregions.

The semiclassical S-matrix takes the form:

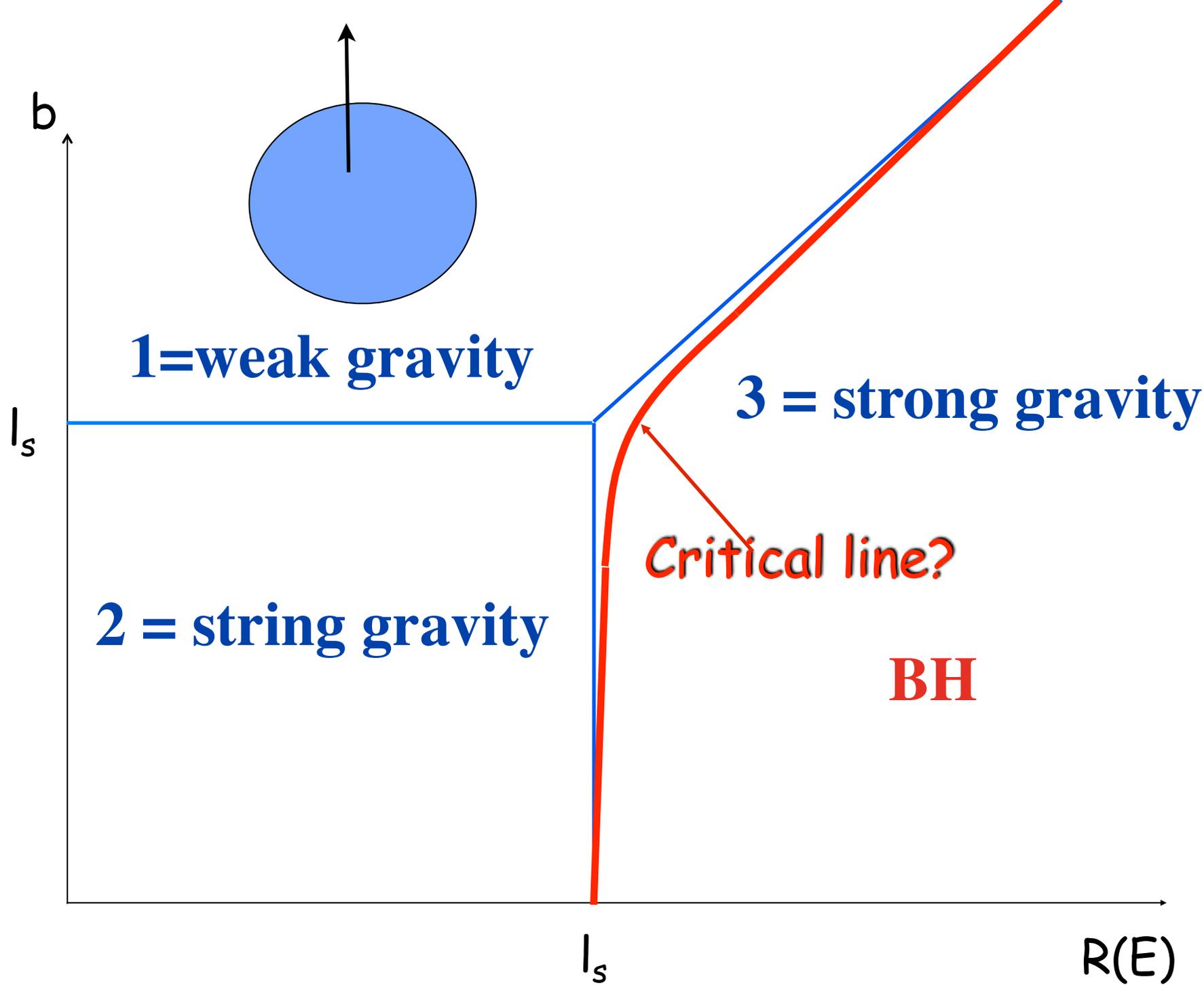
$$S(E, b) \sim \exp\left(i\frac{A_{cl}}{\hbar}\right) \quad ; \quad \frac{A_{cl}}{\hbar} \sim \frac{G_S}{\hbar} c_D b^{4-D} \left(1 + O\left(\left(\frac{R}{b}\right)^{2(D-3)}\right) + O\left(\frac{l_s^2}{b^2}\right) + O\left(\left(\frac{l_P}{b}\right)^{D-2}\right) + \dots\right)$$


Since leading term is real, contributions to **Im A_{cl}** can be much more than corrections.

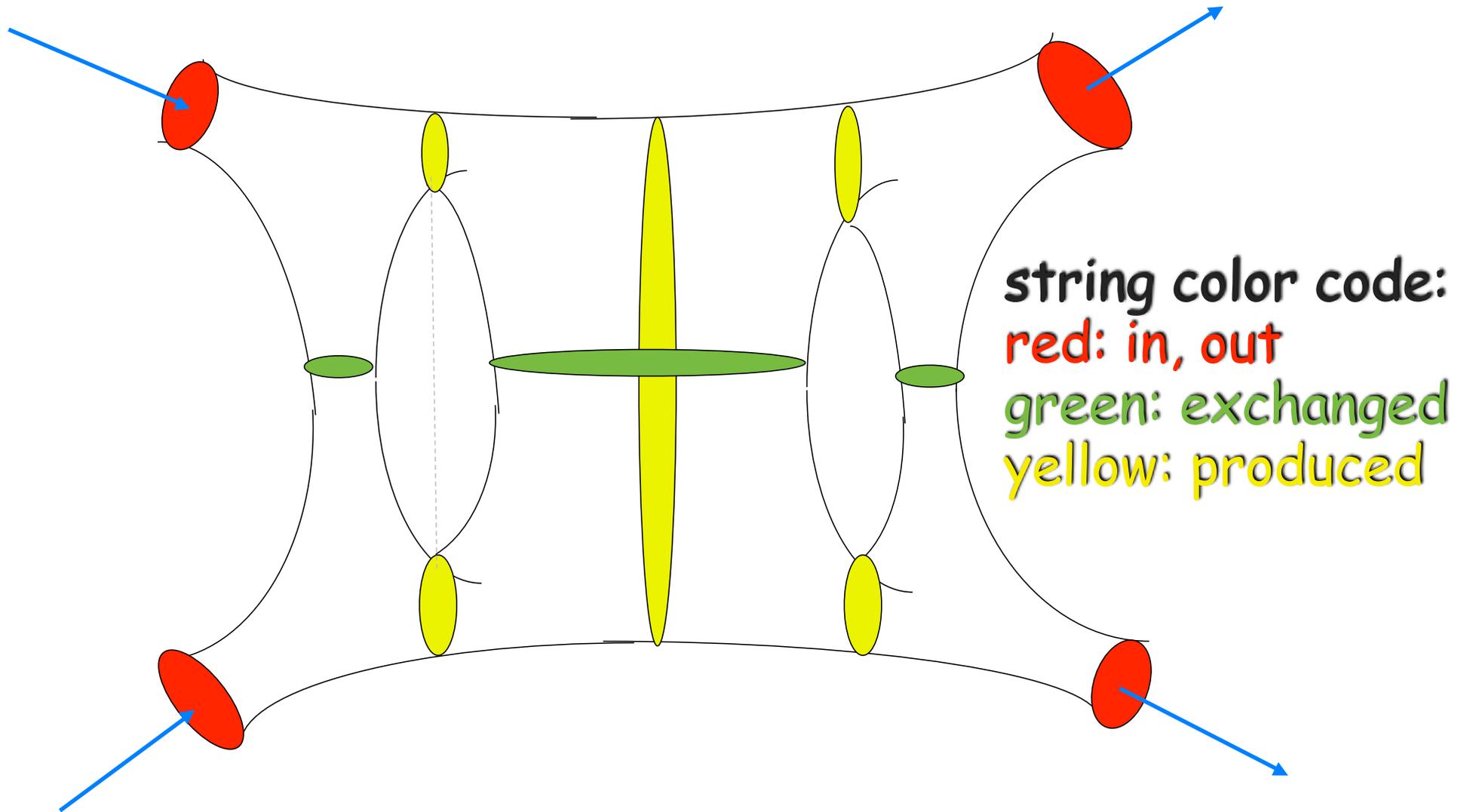
They give strong absorption ($|S_{el}| \ll 1$) if **Im $A_{cl} \gg 1$** .

This is what gives rise to the subregions.

The weak-gravity QFT regime
(recovering elastic unitarity through loops)



Typical contribution to TPE string-string collisions



After resummation @ fixed $s, b...$

A unitary elastic S-matrix

$$S(E, b) \sim \exp\left(i \frac{Gs}{\hbar} c_D b^{4-D}\right) ; S(E, q) = \int d^{D-2}b e^{-iqb} S(E, b) ; s = 4E^2 , q \sim \theta E$$

The integral is dominated by a saddle point at:

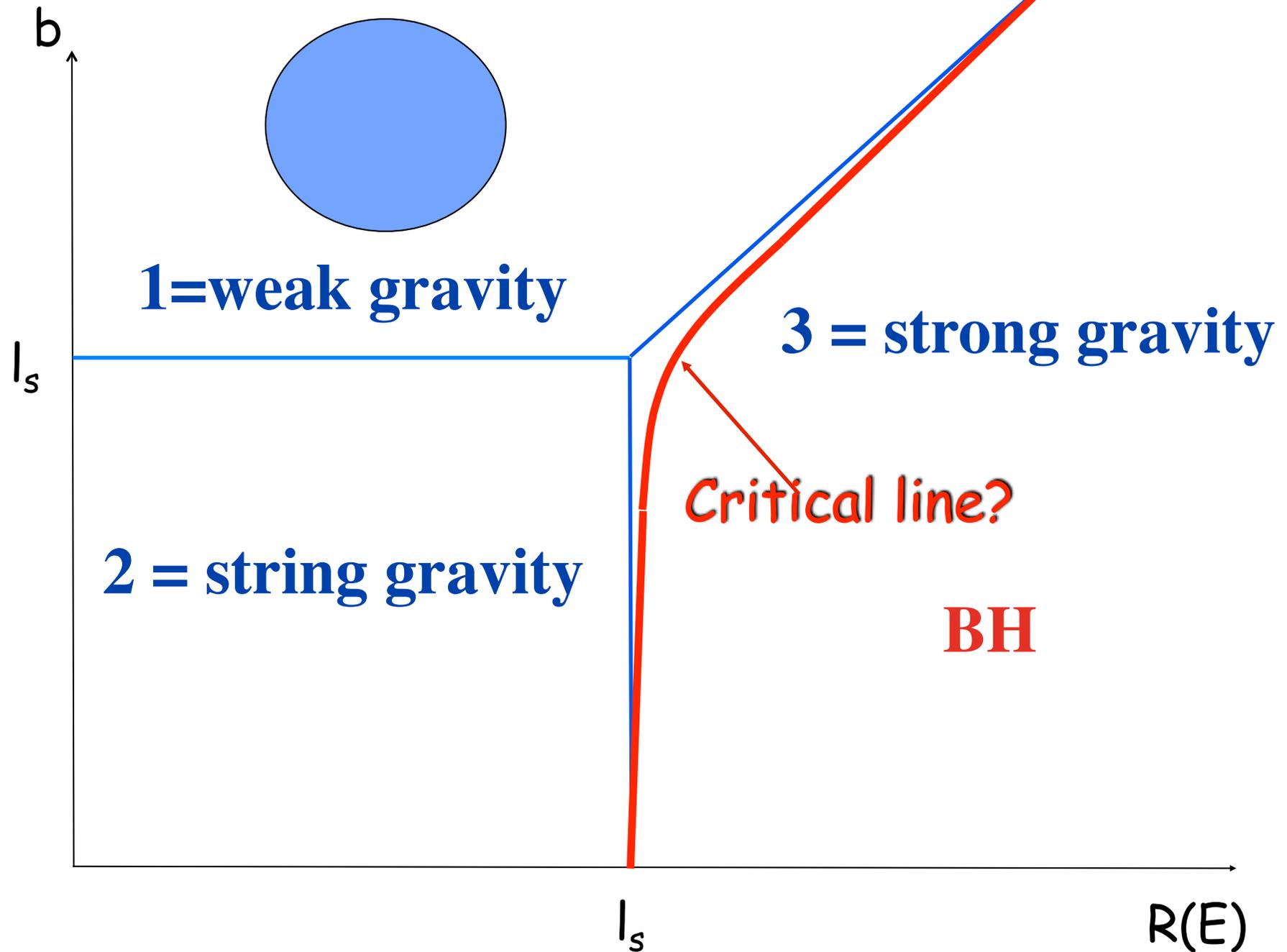
$$b_s^{D-3} \sim \frac{G\sqrt{s}}{\theta} ; \theta \sim \left(\frac{R_S}{b}\right)^{D-3} ; R_S^{D-3} \sim G\sqrt{s}$$

comments:

1. **Deflection** angle given by **derivative** of phase shift w.r.t. **b**.
=> correct generalization of Einstein's deflection formula to ultra-relativistic collisions & arbitrary D.
2. **Derivative** w.r.t. **E** gives correct Shapiro **time delay**.
3. **Elastic** unitarity is fulfilled.
4. **Fixed small angle** scattering probes **large-distances!**

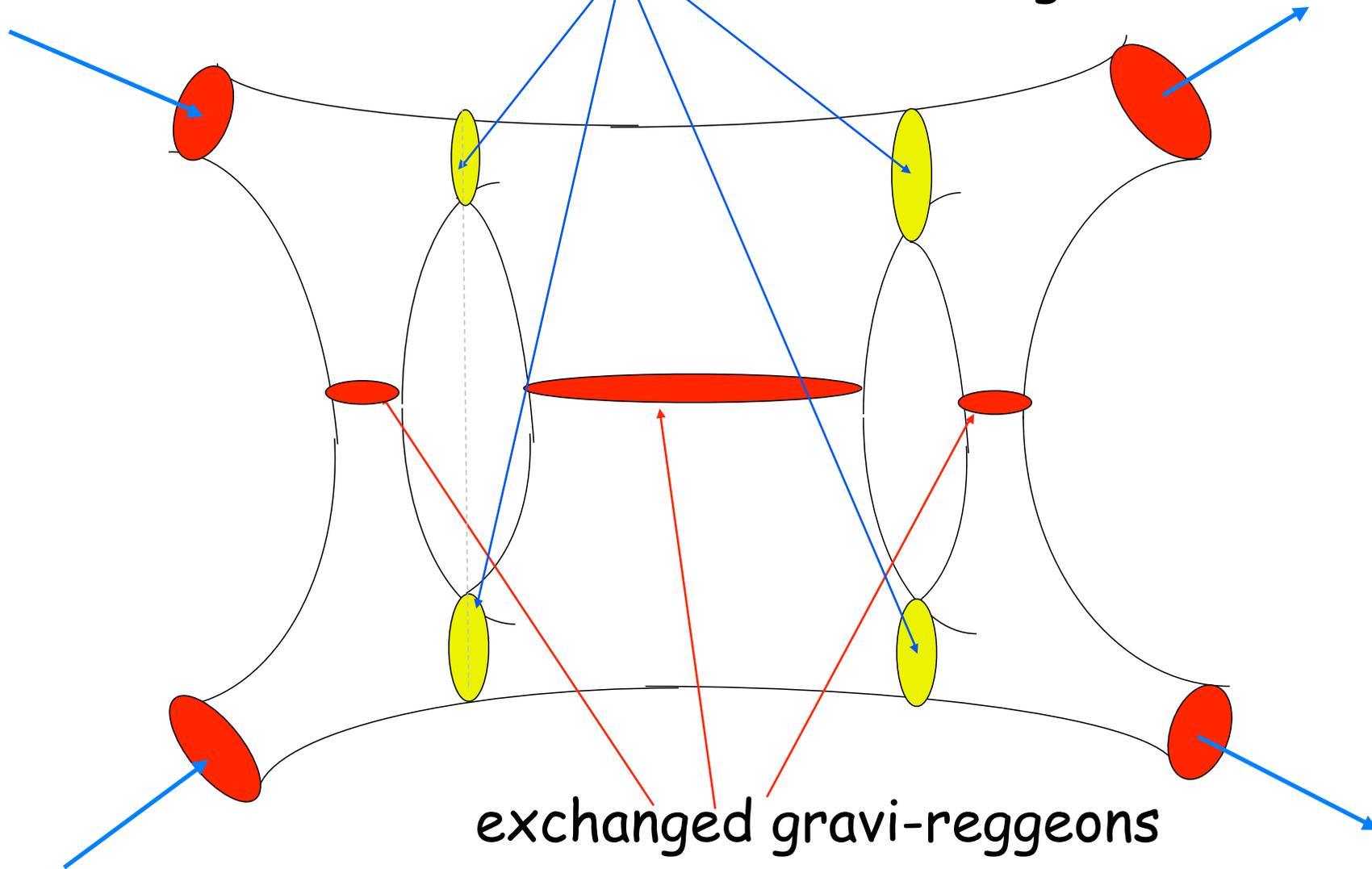
The weak-gravity QST regime
(w/ exact inelastic unitarity)

We are still in region 1 (but a little lower)!



Inelastic channels appear, dominate

Tidal excitation of initial strings



String-string scattering @ large b

$$S(E, b) \sim \exp\left(i \frac{A_{cl}}{\hbar}\right) \quad ; \quad \frac{A_{cl}}{\hbar} \sim \frac{G_s}{\hbar} c_D b^{4-D} \left(1 + O(\cancel{(R/b)^{2(D-3)}}) + O(l_s^2/b^2) + O(\cancel{(l_P/b)^{D-2}}) + \dots\right)$$

Graviton exchange can excite one or both strings.

Reason (Giddings '06): a string moving in a non-trivial metric feels **tidal forces** as a result of its finite size.

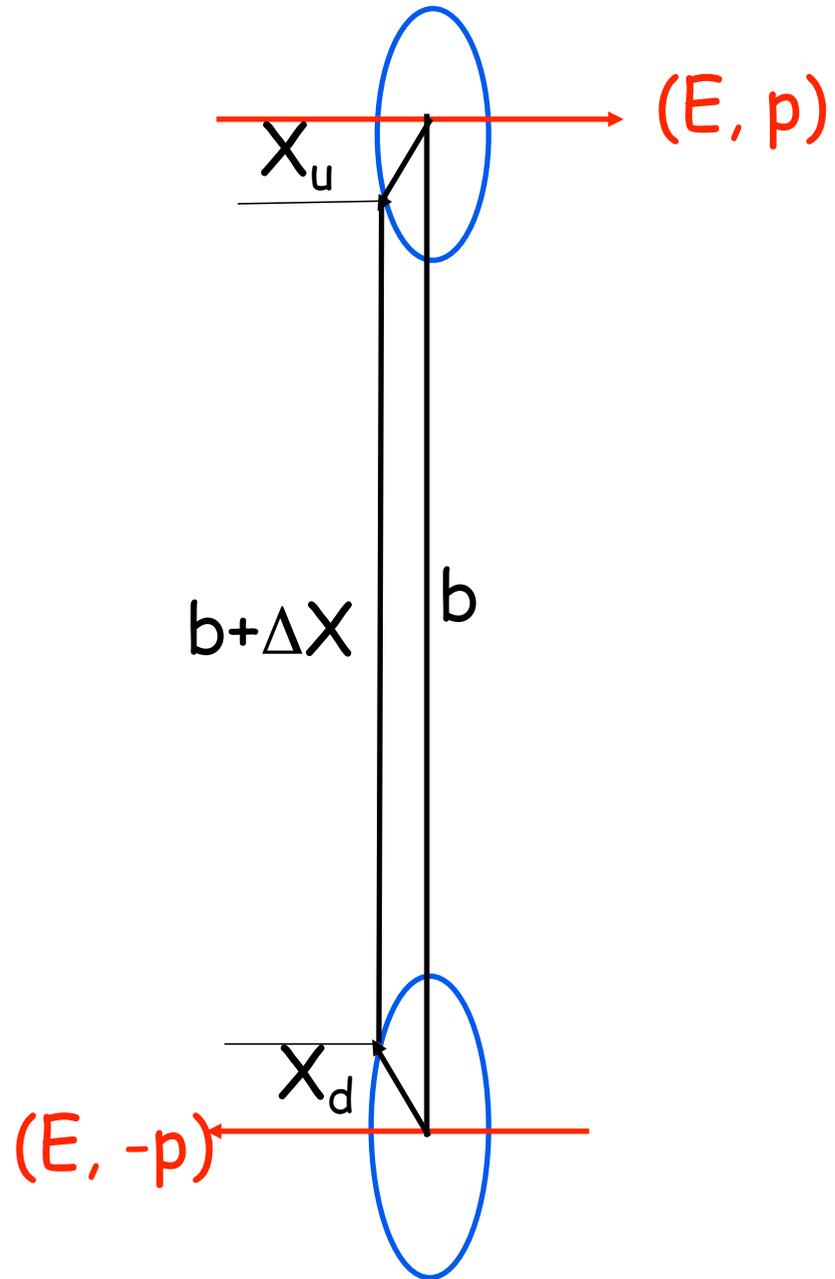
The critical impact parameter b_+ below which the phenomenon kicks-in is parametrically larger than l_s :

$$b_+^{D-2} \sim \frac{G_s l_s^2}{\hbar}$$

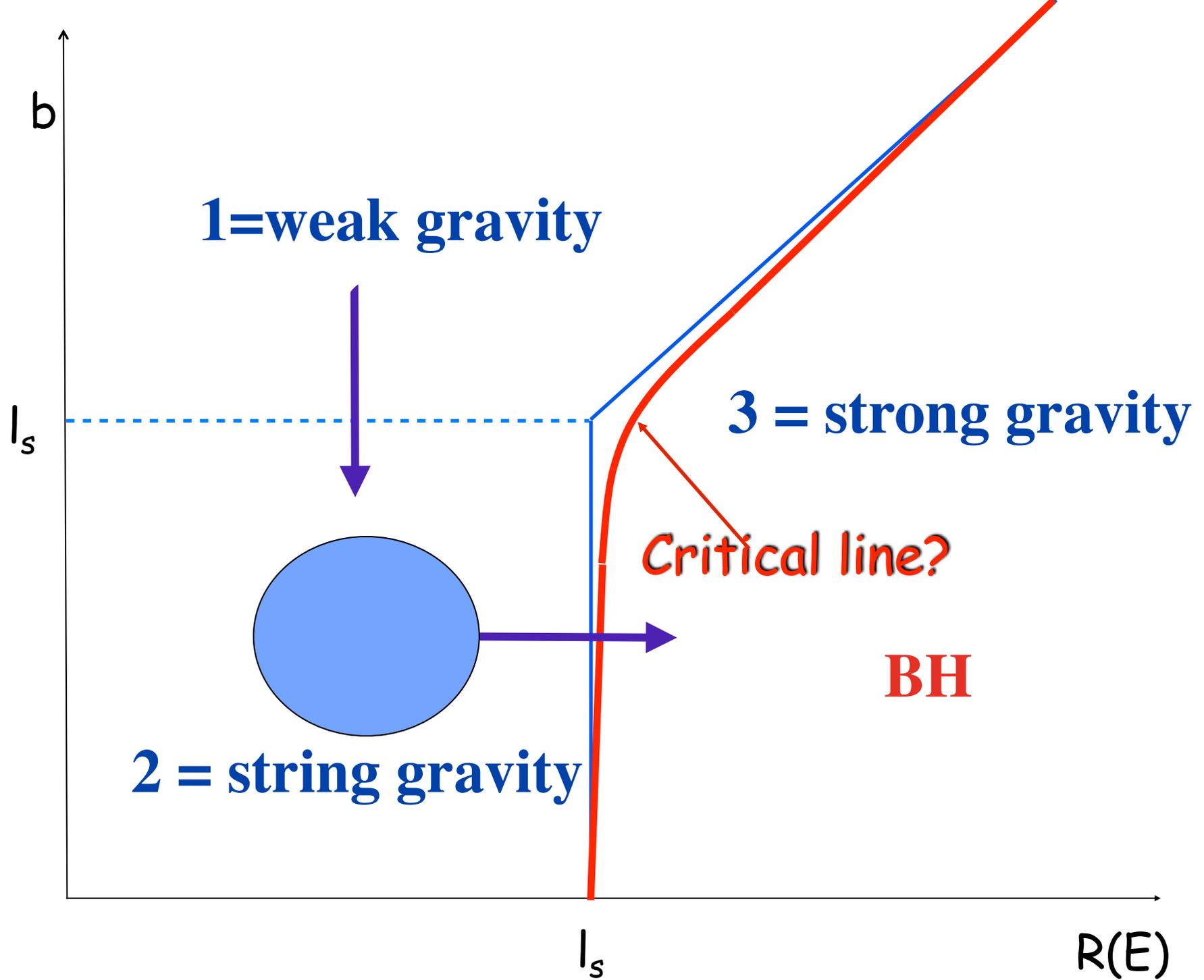
It turns out (ACV '87,) that these effects are simply captured, at the leading eikonal level, by replacing the impact parameter \mathbf{b} by a **shifted** impact parameter, displayed by each string's position operator evaluated at $\tau = 0$ (= coll. time) and averaged over σ (see figure).

This leads to a **unitary operator** eikonal (as long as the phase shift is real).

N.B. **Elastic** unitarity \rightarrow **inelastic** unitarity (analyzed in detail in DDRV '13)



The string-gravity regime
(rough unitarity, GV'04)



String-string scattering @ $b, R \ll l_s$

$$S(E, b) \sim \exp\left(i\frac{A}{\hbar}\right) \sim \exp\left(-i\frac{G_s}{\hbar}(\log b^2 + O(\cancel{R^2/b^2}) + O(l_s^2/b^2) + O(\cancel{l_p^2/b^2}) + \dots)\right)$$

"Classical corrections" screened, string-corrected leading eikonal can be trusted **even for $b < R$** .

Phase shift is **finite at $b=0$** and has a smooth expansion in $b^2/(l_s^2 \log s)$. Solves "causality problem", see DDRV/1502.

The maximal classical deflection angle is $\sim (R/l_s)^{D-3} \ll 1$, and is reached when the two strings graze each other.

Agreement w/ GMO in classically forbidden region.

Scales shorter than l_s cannot be explored =>

Generalized Uncertainty Principle:

$$\Delta x \geq \frac{\hbar}{\Delta p} + \alpha' \Delta p \geq l_s$$

More important here: in string theory even single gravi-reggeon exchange gives a **complex** scattering amplitude.

For St-St scattering the imaginary part is due to **formation of closed-strings** in the s-channel (DHS duality, 1967).

Exponentially small at $b \gg l_s$, important at $b < l_s \cdot Y^{1/2}$

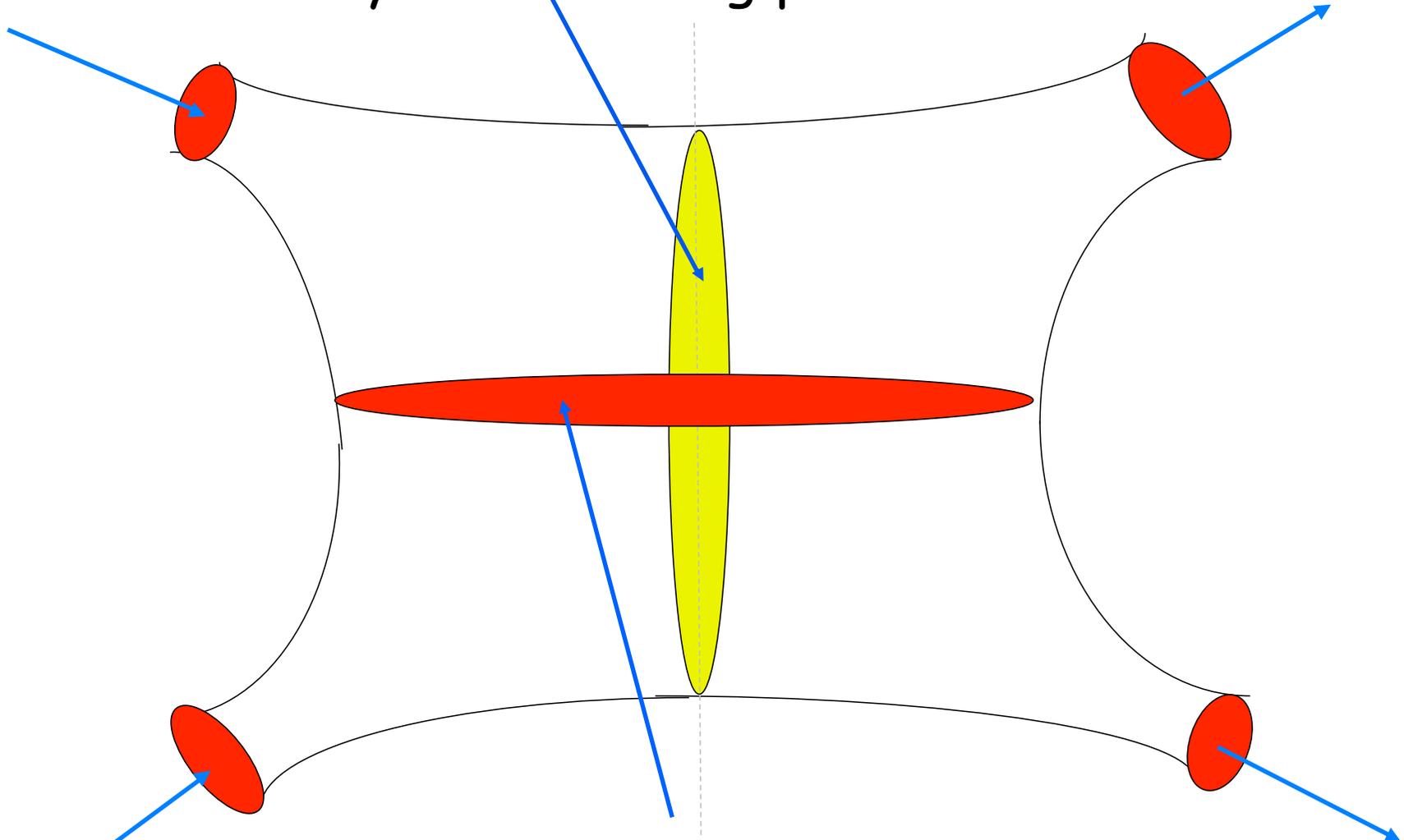
Like the real part it is smooth for $b \rightarrow 0$.

$$\text{Im}A_{cl}(E, b) \sim \frac{G s}{\hbar} (l_s \sqrt{Y})^{4-D} \exp\left(-\frac{b^2}{l_s^2 Y}\right) ; \quad Y = \log(\alpha' s)$$

$$\text{Im}A_{cl}(E, b) \sim \langle n \rangle \rightarrow g^{-2} \sim S_{BH} \quad \langle E_{final} \rangle \sim \frac{M_s^2}{g^2 \sqrt{s}} \rightarrow M_s \text{ at } \sqrt{s} = E_{th}$$

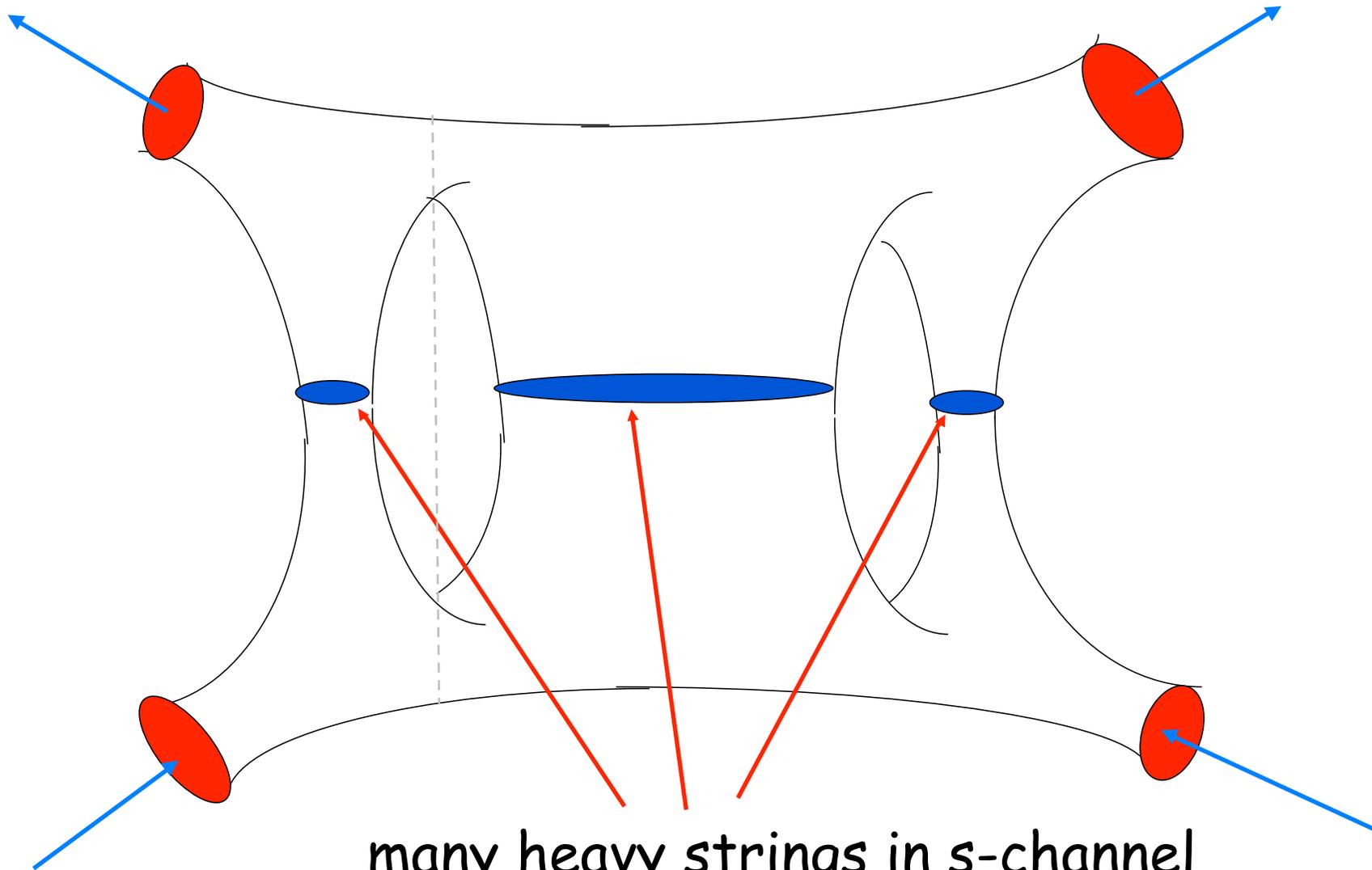
Fast growth of $\langle n \rangle$, $\exp(-S)$ suppression of elastic scattering, softening of final state: all **precursors of BH evaporation** (see **GV hep-th/0410166**)?

Heavy closed string produced in s-channel



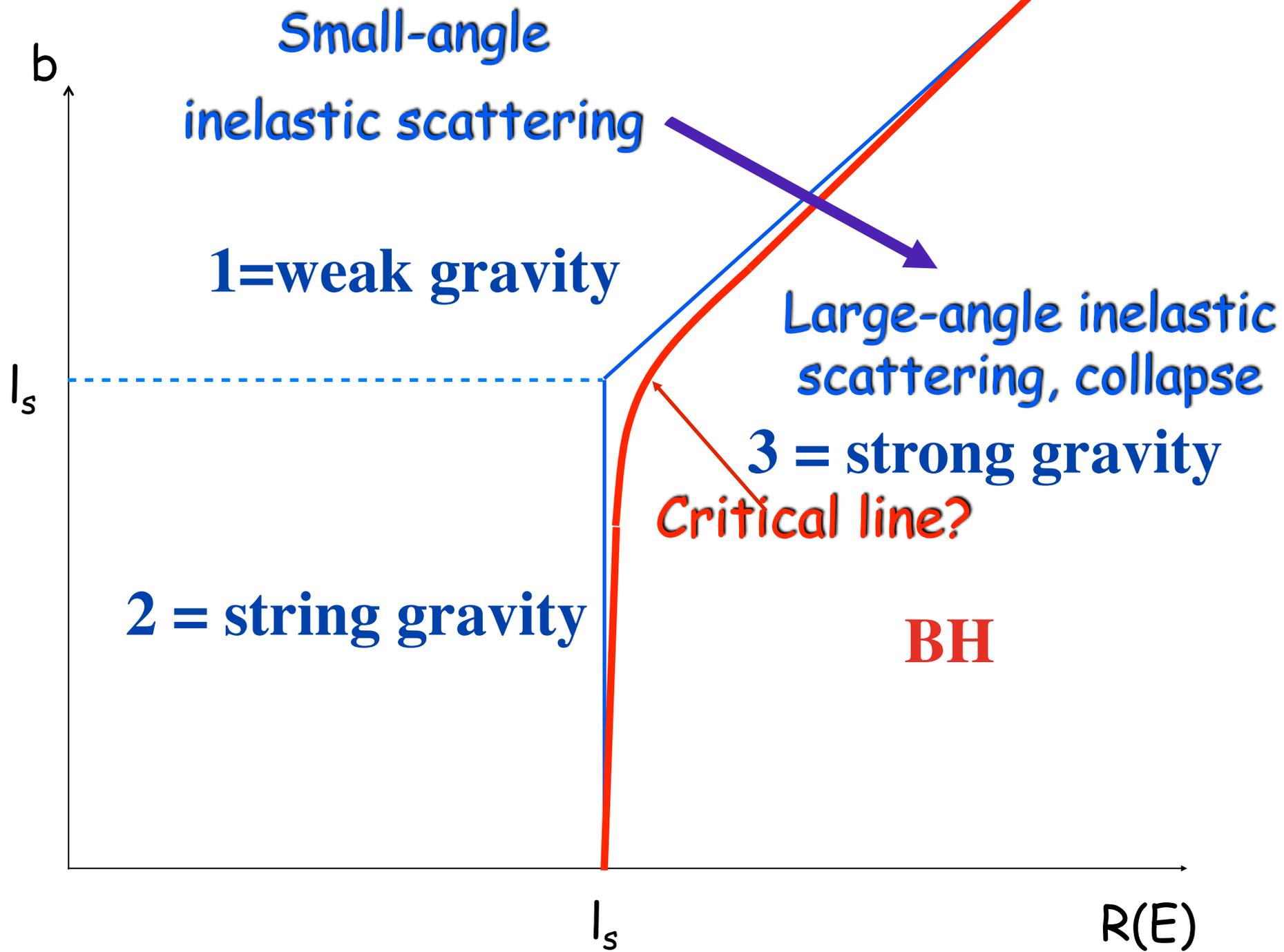
Gravi-reggeon exchanged in t-channel giving a complex scattering amplitude

Turning the previous diagram by 90°

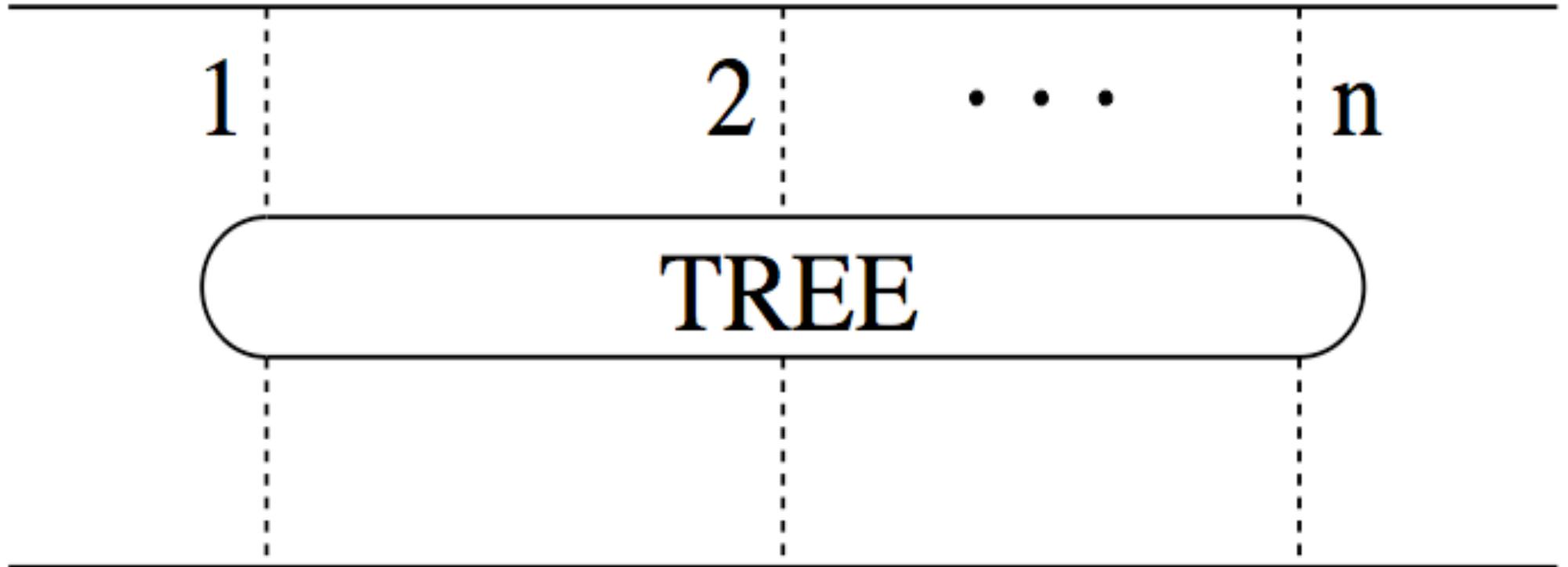


many heavy strings in s-channel
AGK cutting rules apply

The strong-gravity regime ($R > b, l_s$)
($D=4$, no string corrections included!)



Classical corrections related to "tree diagrams"



Power counting for connected trees:

$$A_{cl}(E, b) \sim G^{2n-1} s^n \sim G s R^{2(n-1)} \rightarrow G s (R/b)^{2(n-1)}$$

Summing tree diagrams \Rightarrow solving a classical field theory.

Q: Which is the effective field theory for TP-scattering?

There is a **D=4 effective action** generating the leading diagrams (Lipatov, ACV '93). Too hard to solve!

After (approximately) factoring out the longitudinal dynamics: a **D=2 effective action** containing 4 fields. We also neglected "rescattering", see below.

ACV07 (+ Marchesini & Onofri, GV & Wosiek, Ciafaloni & Colferai) looked for **real-regular** solutions and found that they only exist for $b > b_c \sim R$ with a b_c consistent and in good quantitative agreement with b_c of classical (Closed Trapped Surface) collapse criteria.

For $b < b_c$ we had a **choice** between **real-singular** and **complex-regular** solutions and took the latter...

Loss of unitarity at $b < b_c$?

- A new elastic-unitarity deficit appears, for which we have found **no physical interpretation**
- Choice of complex-regular classical solution could be the reason (**Ciafaloni & Colferai**).
- Possibly string corrections are needed below b_c if real-singular option is taken (**UV completion important?**).

Or it could be **our** crude **approximations**...

We turned (momentarily?) to a simpler puzzle...

Graviton Spectra: an "energy crisis"?

Within ACV07's approximations the spectrum of produced gravitons implies the following GW energy-distribution:

$$\frac{dE_{gr}}{d^2k d\omega} = G_s R^2 \exp\left(-|k||b| - \omega \frac{R^3}{b^2}\right) ; \frac{G_s R^2}{\hbar b^2} \gg 1$$

=> the fraction of energy emitted in GWs is $O(1)$ already for $b = b^* \gg R$ ($G_s/\hbar (R/b^*)^2 = O(1)$). Looks puzzling from a GR perspective. Need answer to:

Q: What's the **cutoff in ω** for the GWs emitted in an ultra-relativistic small angle ($b \gg R$) 2-body collision?

Possible answers: $1/b$, $1/R$ (my old guess), b/R^2 , b^2/R^3 (ACV), γ/b (singular $m=0$ limit?), E/\hbar (singular classical limit?)

High-speed black-hole encounters and gravitational radiation

P. D. D'Eath

Department of Applied Mathematics and Theoretical Physics, Silver Street, Cambridge, England

(Received 15 March 1977)

Encounters between black holes are considered in the limit that the approach velocity tends to the speed of light. At high speeds, the incoming gravitational fields are concentrated in two plane-fronted shock regions, which become distorted and deflected as they pass through each other. The structure of the resulting curved shocks is analyzed in some detail, using perturbation methods. This leads to calculations of the gravitational radiation emitted near the forward and backward directions. These methods can be applied when the impact parameter is comparable to $Gc^{-2}M\gamma^2$, where M is a typical black-hole mass and γ is a typical Lorentz factor (measured in a center-of-mass frame) of an incoming black hole. Then the radiation carries power/solid angle of the characteristic strong-field magnitude c^5G^{-1} within two beams occupying a solid angle of order γ^{-2} . But the methods are still valid when the black holes undergo a collision or close encounter, where the impact parameter is comparable to $Gc^{-2}M\gamma$. In this case the radiation is apparently not beamed, and the calculations describe detailed structure in the radiation pattern close to the forward and backward directions. The analytic expressions for strong-field gravitational radiation indicate that a significant fraction of the collision energy can be radiated as gravitational waves.

THE GENERATION OF GRAVITATIONAL WAVES.
IV. BREMSSTRAHLUNG*†‡

SÁNDOR J. KOVÁCS, JR.

W. K. Kellogg Radiation Laboratory, California Institute of Technology

AND

KIP S. THORNE

Center for Radiophysics and Space Research, Cornell University; and
W. K. Kellogg Radiation Laboratory, California Institute of Technology

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ABSTRACT

This paper attempts a definitive treatment of “classical gravitational bremsstrahlung”—i.e., of the gravitational waves produced when two stars of arbitrary relative mass fly past each other with arbitrary relative velocity v , but with large enough impact parameter that

(angle of gravitational deflection of stars' orbits) $\ll (1 - v^2/c^2)^{1/2}$.

What's GR's answer for $\theta_s > 1/\gamma$?

A long standing problem, also hard numerically

Recent progress. Classical: Gruzinov & GV (1409.4555), Spirin & Tomaras (1503.02016); Quantum: Ciafaloni, Colferai & GV (1505.06619), CC Coraleschi & GV, (1512.00281).

Classical Calculation of Grav. Bremsstr.

(Andrei Gruzinov & GV, 1409.4555)

The calculation is done directly for massless particles at small θ_s . Frequency and the angular distribution of GW spectrum take the form:

$$\frac{dE^{GW}}{d\omega d^2\tilde{\theta}} = \frac{GE^2}{\pi^4} |c|^2 ; \quad \tilde{\theta} = \theta - \theta_s ; \quad \theta_s = 2R \frac{b}{b^2}$$

$$c(\omega, \tilde{\theta}) = \int \frac{d^2x \zeta^2}{|\zeta|^4} e^{-i\omega \mathbf{x} \cdot \tilde{\theta}} \left[e^{-2iR\omega\Phi(\mathbf{x})} - 1 \right]$$

$$\zeta = x + iy \quad \Phi(\mathbf{x}) = \frac{1}{2} \ln \frac{(\mathbf{x} - \mathbf{b})^2}{b^2} + \frac{\mathbf{b} \cdot \mathbf{x}}{b^2}$$

$$c(\omega, \theta) = \int \frac{d^2x \zeta^2}{|\zeta|^4} e^{-i\omega \mathbf{x} \cdot \theta} \left[e^{-iR\omega \ln \frac{(\mathbf{x} - \mathbf{b})^2}{b^2}} - e^{+2iER\omega \frac{\mathbf{b} \cdot \mathbf{x}}{b^2}} \right]$$

where $(\theta - \theta_s)$ is the solid angle around (one of) the deflected trajectory(ies). $\text{Re } \zeta^2$ and $\text{Im } \zeta^2$ correspond to the two GW polarizations. Obtained via **Huygens** principle in **Fraunhofer** approx. **Subtracting** the deflected shock wave (cf. P. D'Eath) is **crucial!**

The frequency spectrum is almost flat ($dE/d\omega \sim \log \omega R$) for $b^{-1} < \omega < R^{-1}$. Below b^{-1} it freezes reproducing a known "zero-frequency-limit" (Smarr 1977) based on soft graviton theorems (F. Low, S. Weinberg, 1965):

$$\frac{dE^{GW}}{d\omega} \rightarrow \frac{4G}{\pi} \theta_s^2 E^2 \log(\theta_s^{-2})$$

Above $\omega = R^{-1}$ the spectrum becomes scale-inv., $dE/d\omega \sim \theta_s^2 E/\omega$, producing a $\log \omega^*$ in the "efficiency". Using as cutoff $\omega^* \sim R^{-1} \theta_s^{-2}$ (where our approximations break down and the "Dyson bound" $dE/dt < 1/G$ is saturated) we find

$$\frac{E^{GW}}{\sqrt{s}} = \frac{1}{2\pi} \theta^2 \log(\theta^{-2})$$

For $\omega > \omega^*$ we argued for an ω^{-2} spectrum: it turns out to be that of a **time-integrated BH evaporation!**

Quantum calculation of grav. brems.

(Ciafaloni, Colferai & GV (1505.06619),

CCCoraldeschi & GV, (1512.00281))

In $CC(C)V$ (1505.06619 & 1512.00281) the same problem has been addressed at the **quantum** level improving earlier treatment

One observation is that the usual **soft-graviton recipe** (emission from external legs) has to be **amended** since the internal exchanged gravitons are almost on shell. Emission from such internal lines is important for not-so-soft gravitons (hence for the energy loss).

Another point is that, for gravitons with $\omega > R^{-1}$, there are **decoherence** effects. At fixed graviton helicity and momentum the production amplitudes depend in a precise way upon the incidence angle, which changes along the fast-particle trajectory.

This decoherence causes the break at $\omega \sim R^{-1}$.

If this effect is kept into account when summing over diagrams in which the graviton can be emitted by any rung in the ladder diagram, the result for $c(\omega, \theta)$ is:

$$\int d^2 z \int_0^1 d\xi h_s(\mathbf{z}) e^{i\omega b \mathbf{z} \cdot (\boldsymbol{\theta} - \xi \boldsymbol{\Theta}_s(b))}$$

$$h_s(\mathbf{z}) \equiv \frac{1}{\pi^2 z^{*2}} \left(\frac{E}{\omega} \log \left| \hat{\mathbf{b}} - \frac{\omega}{E} \mathbf{z} \right| - \log \left| \hat{\mathbf{b}} - \mathbf{z} \right| \right) \equiv -\frac{\Phi_R(\mathbf{z})}{\pi^2 z^{*2}}$$

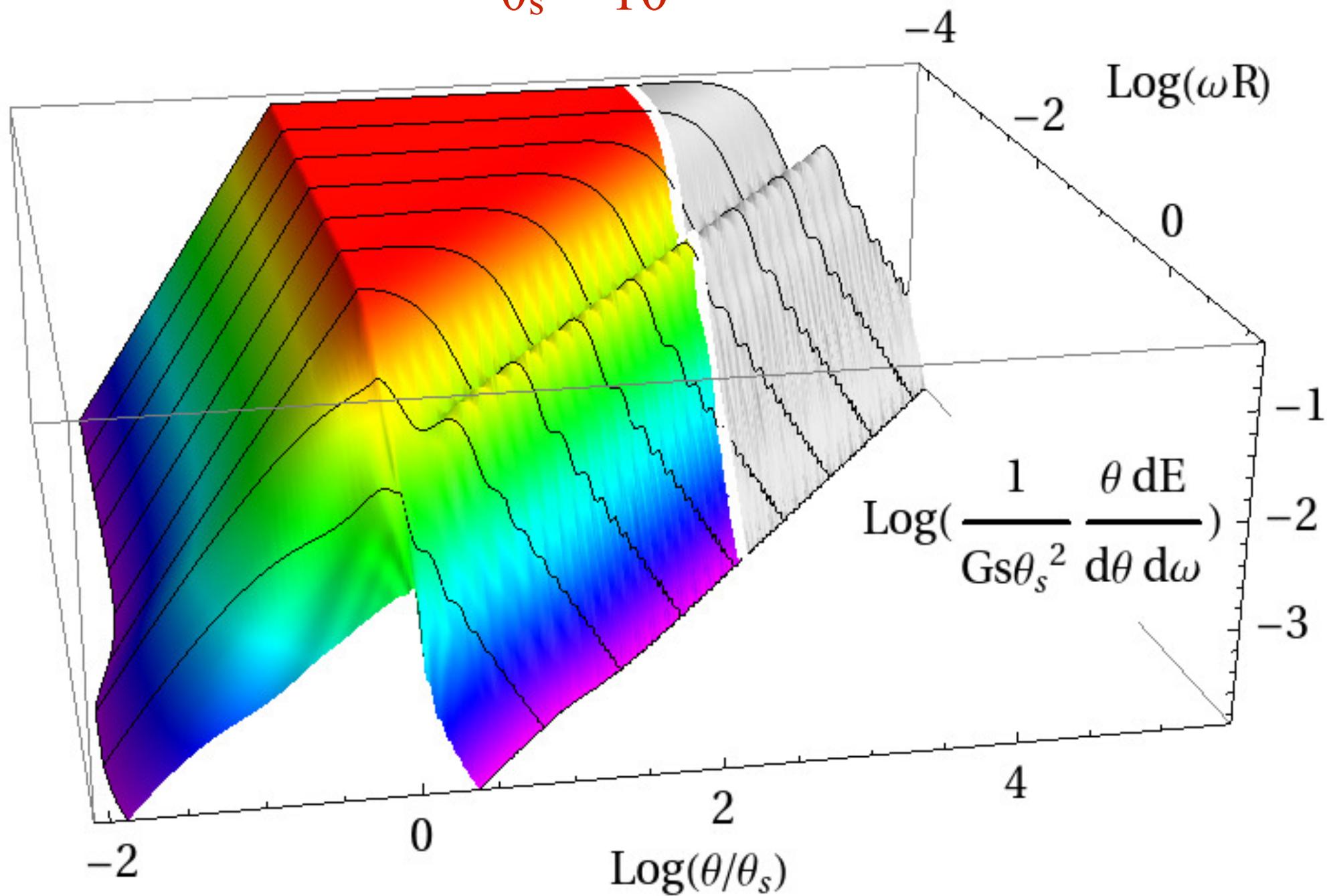
$$\Phi_R(\mathbf{z}) \rightarrow \Phi(\mathbf{z}) \equiv \left(\hat{\mathbf{b}} \cdot \mathbf{z} + \log \left| \hat{\mathbf{b}} - \mathbf{z} \right| \right)$$

This is similar -but **not identical**- to the classical result of G+V. However, as argued in [1512.00281](#), one should also take into account the difference between the **eikonal phase** of the final **3-particle** state and that of an elastic **2-particle** state. When this is done, the classical result of [1409.4555](#) is **exactly** recovered in the limit $\hbar\omega/E \ll 1$.

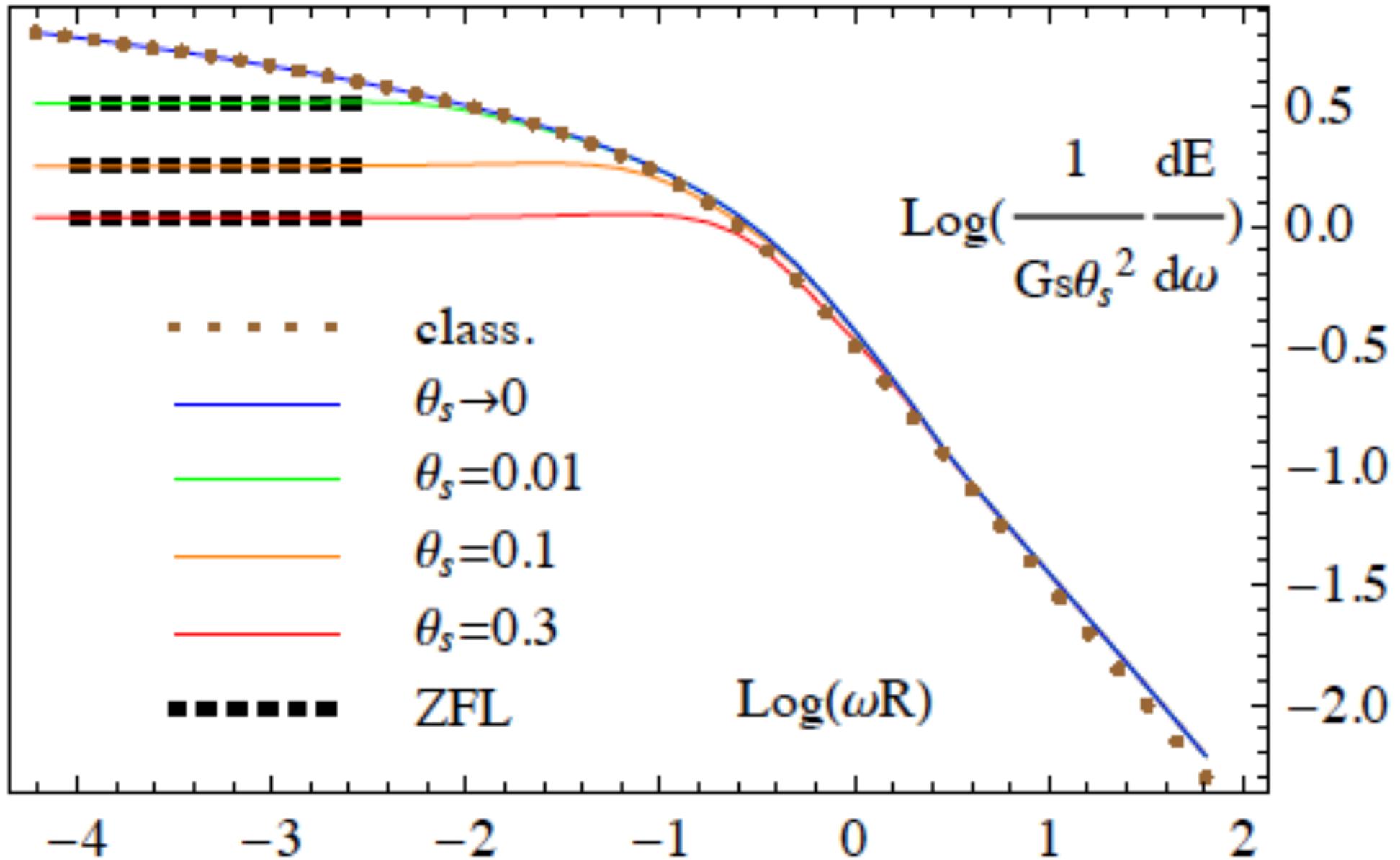
We have analyzed (mostly numerically) the properties of the spectrum with and without rescattering corrections and in the classical limit.

This is illustrated in a few pictures.

$$\theta_s = 10^{-3}$$

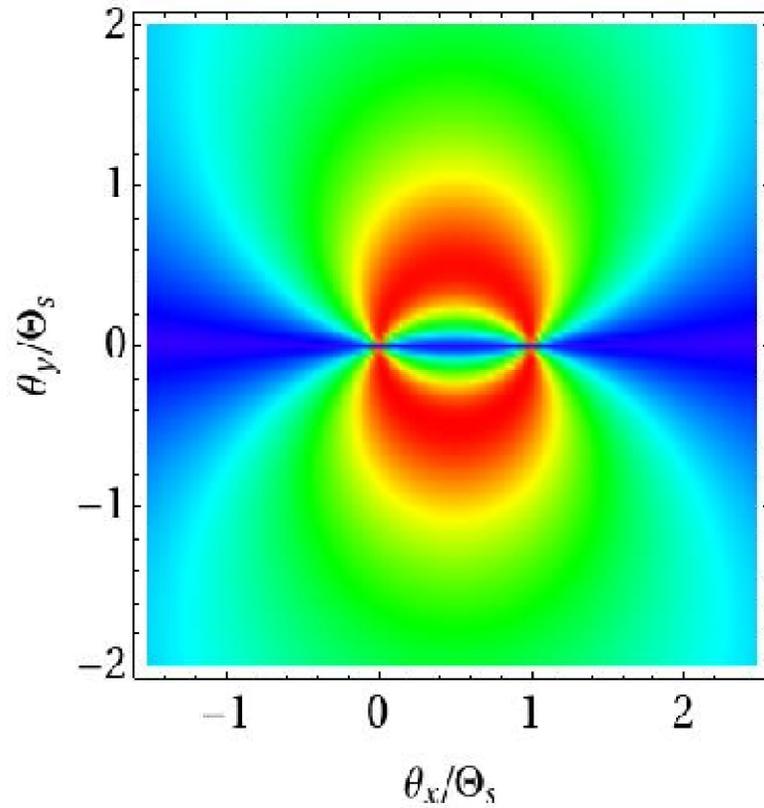


Frequency spectrum

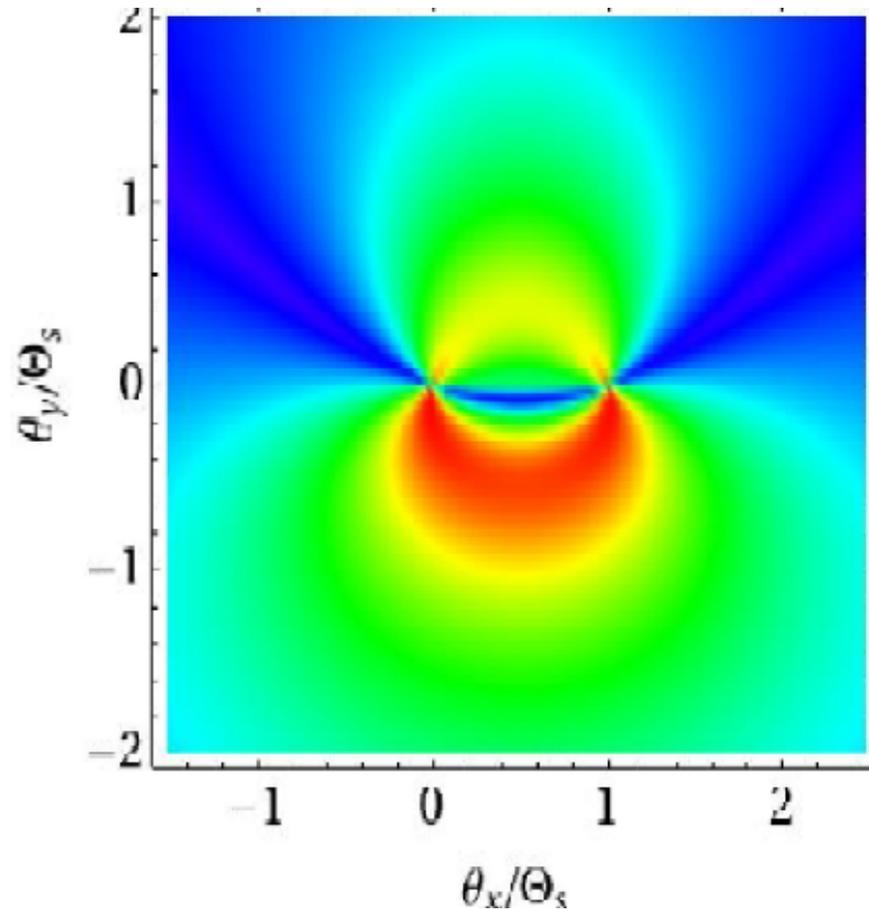


Angular (polar and azimuthal) distribution

$$\omega R = 10^{-3}$$

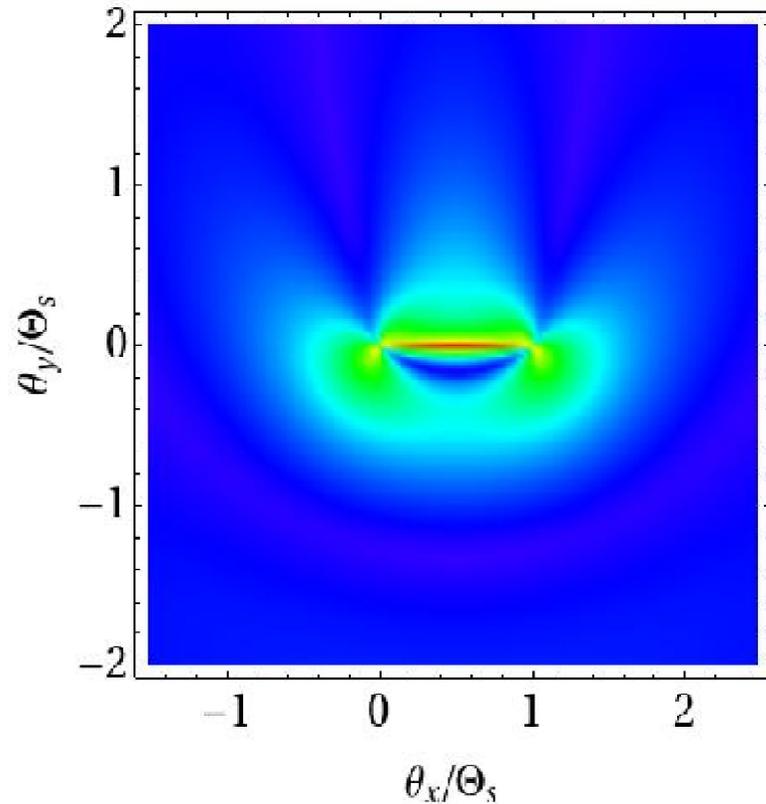


$$\omega R = 0.125$$

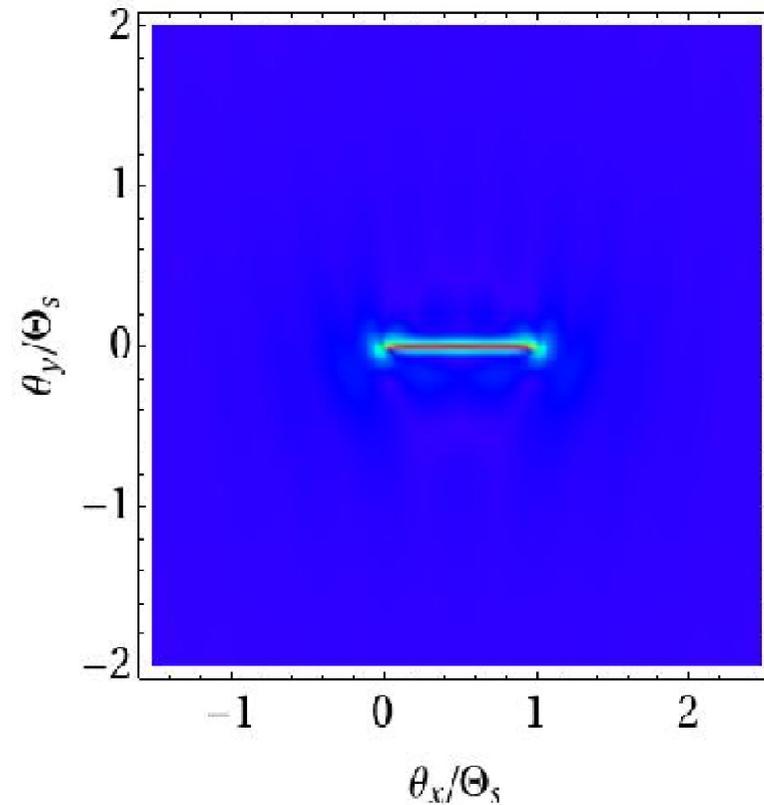


Angular (polar and azimuthal) distribution

$$\omega R = 1.0$$



$$\omega R = 8.0$$



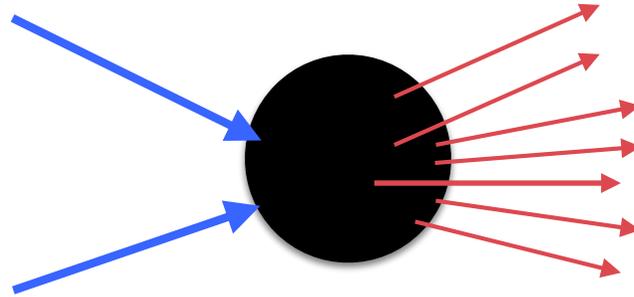
We now want to understand what, if any, provides a **large-frequency cutoff** and extend the reasoning towards the large-angle/collapse regime.

The emerging picture is quite appealing: **transverse** momenta are limited by $1/b$ while **longitudinal** ones (and energies) are controlled by the larger scale $1/R$ (with some leakage at higher frequencies)

If that behavior persists as $b \rightarrow b_c \sim R$, the GW/graviton distribution **becomes** more and more "isotropic" with $\langle n \rangle \sim Gs/h$ and (again!) characteristic energy $O(h/R \sim T_H)$.

A short digression (time permitting)

In 1409.7405 Dvali et al. have considered the process 2
UHE gravitons \rightarrow N ULE gravitons



Claim (in $D=4$ & both QFT & QST): most important contribution to unitarity comes from

$$N \sim \frac{ER_S}{\hbar} \sim S_{BH}(E)$$

and that **tree-level** saturates unitarity (after adding by hand BH entropy factor)!

Quite amazing if true.

however...

Exclusive x-sections have **IR singularities**:

1. At tree-level they **blow up**.
2. At fixed multiplicity w/ virtual corrections resummed they **vanish**;
3. Only suitably defined inclusive enough xsections are free from IR problems

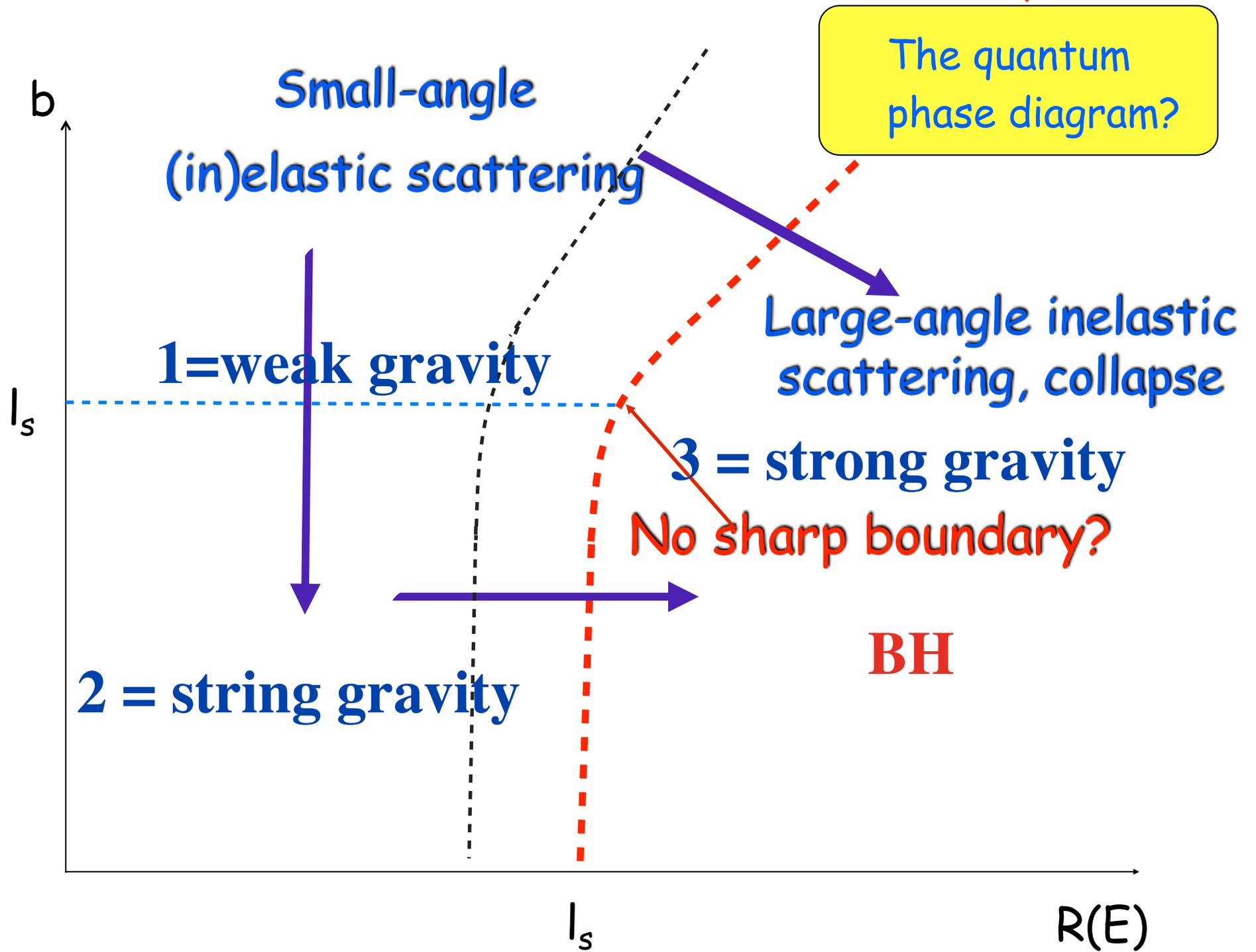
=> Dvali et al's result needs to be reinterpreted

Work in progress (**A. Addazi, M. Bianchi & GV**)
appears to justify qualitatively their basic picture!

One final remark

Is pre-collapse the gravitational analog of pre-confinement (Amati & GV,'79) in QCD?

- A general pattern seems to emerge where, at the quantum level, the transition between the dispersive and the collapse phase is smoothed out.
- As some critical value $b = b_c \sim R$ is approached, the nature of the final state appears to change smoothly from one characteristic of a dispersive state to one reminiscent of Hawking's radiation (high multiplicity & $\langle E_f \rangle = \sim h/R$).



Thank you...